

The Lodge *at* Spruce Peak

STOWE, VERMONT
AUGUST 25-28, 2022



154th | Assemblies for Bank Directors

The Nation's Most Trusted Source For Bank Director Education

STRATEGIC PLANNING, FIDUCIARY GOVERNANCE AND INDUSTRY BEST PRACTICES

Directors and senior management teams from throughout North America will convene August 25 -28, 2022, to plan for a successful future, focusing on community banking and the new imperatives. The conference will be guided by the industry's leading authorities on community banks and their competitive edge. On the agenda are critical issues bank boards are facing nationwide, including specific areas of corporate governance, strategic planning and best practices. Extensive Q&A and director engagement, moderated by panels of experts, will afford you the opportunity to "drill down" further to gain a complete understanding of what's expected of today's boards of directors and their senior management. Recent peer exchanges at our other programs have been transformative!

The 154th Assembly for Bank Directors will be held at The Lodge at Spruce Peak in Stowe, Vermont. This delightful mountain resort offers great value as well as spectacular views and myriad outdoor activities for a unique and rewarding full board retreat.



Presented by

SWG SB
SW Graduate School of
Banking Foundation

Assemblies for Bank Directors

THE EXPERIENCE OF SUCCESS

For almost half a century, the Assemblies for Bank Directors has been the national leader in bank director education, serving more and more bank directors across America. The Assemblies' programs have kept U.S. bank boards abreast of the latest industry developments, as well as complemented senior management's own efforts to manage their institutions strategically. With regulatory authorities now requiring a full range of banking knowledge, you can rely on our experience to deliver programs of national interest — tailored to your unique duties and responsibilities.



The Assemblies for Bank Directors draw bank directors and senior management from throughout America to discuss the latest issues affecting the national banking industry. By monitoring the banking industry's "hot topics" we ensure that the bank director program content is always fresh and dynamic. The locations are calm and relaxing; the speakers, top-notch. The bank faculty and facilitators are drawn from the nation's leading banking experts. With an eye on new bank regulatory expectations and D&O insurance provisions, these national banking programs are designed to complement a financial institution's own efforts in assembling a board whose strategic vision and oversight is second to none.

THE LODGE AT SPRUCE PEAK



Reservations:

[www.hyatt.com/en-US/
group-booking/BTVDH/G-W8GM](http://www.hyatt.com/en-US/group-booking/BTVDH/G-W8GM)
888-478-6938

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Book Early!

After July 24, 2022,
rooms and group rates
are subject to availability.

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Spruce Peak

7412 Mountain Road
Stowe, VT 05672

Breathtaking vistas greet every guest with windows that soar from ceiling to floor. Welcoming suites feature flickering hearths that bathe every room in warm, golden hues. A stay in one of our Penthouse or Rental retreats avails you to perks normally reserved for residential owners alone – including private check-in services, dedicated concierge assistance, daily continental breakfast, and so much more.



If you need additional assistance, please contact us at 802-760-4796

Directors Program

THURSDAY, AUGUST 25

4:00 p.m.-5:00 p.m. *Registration*

5:00 p.m.-6:30 p.m. *Reception (with Spouses)*

FRIDAY, AUGUST 26

7:30 a.m.-3:00 p.m.

Continental Breakfast *(with Spouses)*

Welcome and Program Announcements (with Spouses) – Jeffrey R. Schmid

- **“Strategic Planning for a Changed Environment”** – Philip K. Smith
- **“Ethical Lapses in Banking: How, Why and What To Do to Prevent Them”**
– Marianne M. Jennings, Ph.D.
- **“Q&A Panel Discussion”** – Marianne M. Jennings, Ph.D., and Philip K. Smith

Luncheon *(with Spouses)* – Sponsored by Commerce Street Capital

Featuring Keynote Speaker – Lieutenant General Jon A. Jensen

- **“Flash Five: Quick Look Behind 5 Industry Headlines, from M&A to Tech”**
– Moderated by William T. Chittenden, Ph.D.

Evening at Your Leisure

SATURDAY, AUGUST 27

7:30 a.m.-11:45 a.m.

Continental Breakfast *(with Spouses)*

Announcements

- **“Community Banks and Governance”** – Shane Goodwin, Ph.D.
- **“Building a Bank Performance Plan”** – Victor R. Pierson
- **“The Fed’s 2022 Great Policy Pivot: A Bank Director’s Guide”** – Edmond J. Seifried, Ph.D.
- **“Q&A Panel Discussion”** – Shane Goodwin, Ph.D., Victor R. Pierson,
and Edmond J. Seifried, Ph.D.

Afternoon and Evening at Your Leisure

SUNDAY, AUGUST 28

7:30 a.m.-10:00 a.m.

Coffee Service Only *(with Spouses)*

- **“Economic Forecast for 2022/2023”** – Edmond J. Seifried, Ph.D.
- **“Roundtable Discussion”** – Goodwin, Jennings, Pierson, Seifried and Smith

Schedule Subject to Change. All events are business casual.

FOR CPE CREDIT

- **Field of Study:** Specialized Knowledge
- **Prerequisites:** Basic understanding of director responsibilities gained while currently serving on board
- **Who Should Attend:** New or current board members of community banks
- **Advanced Preparation:** None
- **Program Level:** Basic
- **This Assembly** qualifies for up to 11 CPE credit hours.
- **Instructional Delivery Method:** Group-Live

Speakers

William T. Chittenden, Ph.D., chief academic officer, SWGSB Foundation

Shane Goodwin, Ph.D., associate dean | professor of practice, SMU Cox School of Business

Marianne M. Jennings, Ph.D., emeritus professor, W.P. Carey School of Business

Jon A. Jensen, Lieutenant General, Army National Guard

Victor R. Pierson, chairman, president and CEO, Moody National Bank

Edmond J. Seifried, Ph.D., co-chairman, Seifried & Brew, LLC

Philip K. Smith, president, Gerrish Smith Tuck, PC

Dory A. Wiley, president and CEO, Commerce Street Holdings, LLC



This is my personal invitation to you and your board to experience the Assemblies for Bank Directors programs, reimagined for dynamic engagement and guided by your own professional experiences. We're excited to present new speakers, panels of experts and a team of service "imagineers." Always in focus are the mighty triumvirate of governance, strategic planning and best practice. You have my promise that it will be well worth your time and treasure. Join us!

Jeffrey R. Schmid
President and CEO
SWGSB Foundation



OUR UPCOMING PROGRAMS

**SW Graduate School of Banking
At SMU Cox School of Business**

Dallas, Texas

May 30 through June 9, 2022

**155th Assembly for Bank Directors
At the Ritz-Carlton Naples**

Naples, Florida

January 19-22, 2023

For more information about upcoming programs, visit swgsb.org.



Registration

The Lodge
at
Spruce Peak
STOWE, VERMONT
AUGUST 25-28, 2022

Register early!

Program popularity may limit attendance.

Call: 214-768-2991 | **email:** abd@swgsb.org

NAME		NICKNAME	
BUSINESS OR PROFESSION			
TITLE			
MAILING ADDRESS		<input type="checkbox"/> HOME	<input type="checkbox"/> BUSINESS
CITY		STATE	ZIP CODE
BUSINESS PHONE		CELL PHONE	
HOME PHONE			
E-MAIL ADDRESS			
BANK DIRECTORSHIP HELD IN		BANK ASSET SIZE	
BANK CITY		BANK STATE	
NAME OF SPOUSE OR GUEST, IF ATTENDING			

Assembly Fees Director only, \$1,350 Spouse or guest, \$350

Group Discounts (from the same bank):

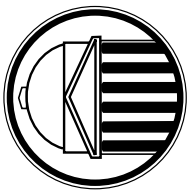
- \$1,100.00 each for third and additional directors
- Enclosed is a check made payable to : SWGSB Foundation, P.O. Box 750214, Dallas, TX 75275-0214

To register online and pay with your credit card, please visit our website at swgsb.org/form_event_directors?event=ABD154

Assembly fees cover educational materials, instruction, and scheduled events. Directors are responsible for their own travel expenses, hotel expenses, and travel arrangements. **For hotel reservations at our group rate,** please call **1-888-478-6938** and reference Assembly for Bank Directors.

Online Reservations at: <https://www.hyatt.com/en-US/group-booking/BTVDH/G-W8GM>
For all other requests, please call the hotel concierge at **802-760-4796**

Cancellation policy: All refunds are subject to a nonrefundable \$95.00 registration fee; cancellations must be in writing. Full refunds will be issued for cancellations made at least 10 days before the program's start date. A 50% refund will be issued for cancellations made between 10 days and 72 hours of the program's start date. No refunds will be issued for cancellations made 72 hours before the program's start date. For more information regarding administrative policies such as complaint and refund, please contact David Davis at 214-768-2991.



SWGSB

SW Graduate School of Banking Foundation

Southern Methodist University
PO Box 750214
Dallas, TX 75275-0214

"Banking continues to transform quickly with technological advances and the ability to deliver financial services in unprecedented new ways. These advances bring new board oversight responsibilities, and when you add a changing regulatory environment, the need for director training has never been greater. The Assemblies for Bank Directors provide your board members a first-class event and the opportunity to learn and network with the best."

Craig Buford, President and CEO
Community Bankers Association of Oklahoma



SW Graduate School of Banking Foundation is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: NASBARegistry.org

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