

155th Assemblies for Bank Directors

The Nation's Most Trusted Source For Bank Director Education

Strategic Planning, Fiduciary Governance and Industry Best Practices

Directors and senior management teams from throughout North America will convene January 19-22, 2023, to plan for a successful future, focusing on community banking and the new imperatives. The conference will be guided by the industry's leading authorities on community banks and their competitive edge. On the agenda are critical issues bank boards are facing nationwide, including specific areas of corporate governance, strategic planning and best practices. Extensive Q&A and director engagement, moderated by panels of experts, will afford you the opportunity to "drill down" further to gain a complete understanding of what's expected of today's boards of directors and their senior management. Recent peer exchanges at our other programs have been transformative!

The 155th Assembly for Bank Directors will be held at The Ritz-Carlton Beach Resort, Naples, Florida. Nestled along the waterfront and surrounded by a pristine beach, preserved natural beauty and protected parkland, The Ritz-Carlton Naples is a jewel on Florida's Paradise Coast. The resort is an exceptional choice for board retreats, offering an assortment of deluxe amenities, championship golf, world-class dining and relaxing spa treatments. The hotel provides unparalleled access to some of the area's most noteworthy attractions - from the exclusive shopping, dining and art galleries of the downtown district to the wonders of nearby Clam Pass Beach Park, Corkscrew Swamp Sanctuary and the Naples Botanical Garden. No visit is complete without witnessing a magnificent sunset from Naples Pier, just moments away.



The Ritz-Carlton Beach Resort
NAPLES, FLORIDA • JANUARY 19-22, 2023

The Experience Of Success

For more than half a century, the Assemblies for Bank Directors has been the national leader in bank director education, serving more and more bank directors across America. The Assemblies' programs have kept U.S. bank boards abreast of the latest industry developments, as well as complemented senior management's own efforts to manage their institutions strategically. With regulatory authorities now requiring a full range of banking knowledge, you can rely on our experience to deliver programs of national interest — tailored to your unique duties and responsibilities. The Assemblies for Bank Directors draw bank directors and senior

management from throughout America to discuss the latest issues affecting the national banking industry. By monitoring the banking industry's "hot topics" we ensure that the bank director program content is always fresh and dynamic. The locations are calm and relaxing; the speakers, top-notch. The bank faculty and facilitators are drawn from the nation's leading banking experts. With an eye on new bank regulatory expectations and D&O insurance provisions, these national banking programs are designed to complement a financial institution's own efforts in assembling a board whose strategic vision and oversight is second to none.



The Ritz-Carlton Beach Resort, Naples Florida

A luxury hotel destination on Florida's Paradise Coast, The Ritz-Carlton, Naples offers unfettered access to the sandy beach and shimmering waters of the Gulf of Mexico. Close proximity to some of the Gulf Coast's most noteworthy points of interest, including downtown Naples. A sun-soaked beach location with awe-inspiring Gulf vistas throughout the hotel. Luxury spa treatments inspired by the garden and the sea, offered in world-class facilities featuring 30 treatment rooms, wellness and nutrition programs and a complimentary fitness center. Exquisite dining influenced by the

Gulf of Mexico's bounty: The Grill, Gumbo Limbo, DUSK, Terrazza, The Gourmet Shop and The Lobby Café. The unique challenge of 36 holes of championship golf at the Tiburón Golf Club, offering two 18-hole Greg Norman-designed championship golf courses, instruction and pro shop. Heated outdoor pool. And a sunset that must be experienced to be believed. As a guest of The Ritz-Carlton, Naples - one of Florida's most glorious beachfront resorts - you're invited to discover why the setting sun here is just the beginning of many fond new memories.

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Reservations:

<https://book.passkey.com/go/155AssembliesforBankDirectors>

1-877-557-3092 or 239-514-6055 reference Assembly for Bank Directors

Book Early!

After Friday, December 16, 2022, rooms and group rates are subject to availability.

Ritz-Carlton Naples

280 Vanderbilt Beach Road
Naples, FL 34108 USA
(239) 598-3300

DIRECTORS PROGRAM

THURSDAY, JANUARY 19

4:00 p.m.-5:00 p.m. *Registration*

5:00 p.m.-6:30 p.m. *Reception (with Spouses)*

FRIDAY, JANUARY 20

7:30 a.m.-3:00 p.m.

Continental Breakfast *(with Spouses)*

Welcome and Program Announcements – Jeffrey R. Schmid

- **“Building a Resilient Culture and Winning Teams”** – Dave Martin
Moderated Q&A follows
- **“Ten Commandments for Effective Community Bank Strategic Planning”**
– Jeffrey C. Gerrish
Moderated Q&A follows

Keynote Luncheon *(with Spouses)* – Sponsored by Commerce Street Capital

- **“The Power of Demographics in Banking and Life”** – Albert W. Niemi, Jr., Ph.D.,
Moderated Q&A follows
- **“What Bank Directors Need to Know about ALCO”** – William T. Chittenden, Ph.D.
Moderated Q&A follows

Evening at Your Leisure

SATURDAY, JANUARY 21

7:30 a.m.- Noon.

Continental Breakfast *(with Spouses)*

Announcements

- **“Community Banks and Governance”** – John E. Bowman
Moderated Q&A follows
- **“Community Bank Boards and Regulators”** – Commissioner Susannah T. Marshall,
Moderated Q&A follows
- **“A Bank Directors Guide to the Economy”** – Edmond J. Seifried, Ph.D.,
Moderated Q&A follows

Afternoon and Evening at Your Leisure

SUNDAY, JANUARY 22

7:30 a.m.-10:00 a.m.

Continental Breakfast *(with Spouses)*

- **“Economic Forecast for 2023”** – Edmond J. Seifried, Ph.D.
- **“Roundtable Discussion”** – Bowman, Chittenden, Gerrish, Martin, Marshall,
Niemi and Seifried

Schedule Subject to Change. All events are business casual.



SPEAKERS

John E. Bowman, former National Financial Regulator

William T. Chittenden, Ph.D., chief academic officer, SWGSB Foundation at SMU Cox

Jeffrey C. Gerrish, chairman, Gerrish Smith Tuck, PC, Memphis

Dave Martin, founder, bankmechanics, a retail banking performance company

Susannah T. Marshall, 22nd Commissioner of the Arkansas State Bank Department and member of the Board of Directors for the Conference of State Bank Supervisors

Albert W. Niemi, Jr., Ph.D., William J. O’Neil Chair in Global Markets and Freedom, SMU Cox

Jeffrey R. Schmid, president and CEO, SWGSB Foundation at SMU Cox

Edmond J. Seifried, Ph.D., chief economist, SWGSB Foundation at SMU Cox

Dory A. Wiley, president and CEO, Commerce Street Holdings, LLC



This is my personal invitation to you and your board to experience the Assemblies for Bank Directors programs, reimaged for dynamic engagement and guided by your own professional experiences. We’re excited to present new speakers, panels of experts and a team of service “imagineers.” Always in focus are the mighty triumvirate of governance, strategic planning and best practice. You have my promise that it will be well worth your time and treasure. Join us!



Jeffrey R. Schmid
President and CEO
SWGSB Foundation

For CPE Credit

- **Field of Study:** Specialized Knowledge
- **Prerequisites:** Basic understanding of director responsibilities gained while currently serving on board
- **Who Should Attend:** New or current board members of community banks
- **Advanced Preparation:** None
- **Program Level:** Basic
- **This Assembly** qualifies for up to 11 CPE credit hours.
- **Instructional Delivery Method:** Group-Live



Our Upcoming Programs

**SW Graduate School of Banking
At SMU Cox School of Business**

Dallas, Texas

May 30 through June 9, 2023

**ABA Commercial Lending Schools
At SMU Cox School of Business**

Dallas, Texas

April 23 through April 28, 2023

For more information about upcoming programs, visit swgsb.org.

REGISTRATION

The Ritz-Carlton Beach Resort NAPLES, FLORIDA • JANUARY 19-22, 2023

Register early!

Program popularity may limit attendance.

Call: 214-768-2991 | **email:** abd@swgsb.org

NAME		NICKNAME		
BUSINESS OR PROFESSION				
TITLE				
MAILING ADDRESS		<input type="checkbox"/> HOME	<input type="checkbox"/> BUSINESS	<input type="checkbox"/> BANK
CITY		STATE	ZIP CODE	
BUSINESS PHONE		CELL PHONE		
HOME PHONE				
E-MAIL ADDRESS				
BANK DIRECTORSHIP HELD IN		BANK ASSET SIZE		
BANK CITY		BANK STATE		
NAME OF SPOUSE OR GUEST, IF ATTENDING				
WHAT IS THE BIGGEST CHALLENGE FACING YOUR BANK TODAY?				

Assembly Fees Director only, \$1,500 (first two) Spouse or guest, \$350

Group Discounts (from the same bank):

- \$1,250.00 each for third and additional directors
 Enclosed is a check made payable to : SWGSB Foundation, P.O. Box 750214, Dallas, TX 75275-0214

To register online and pay with your credit card, please visit our website at
swgsb.org/form_event_directors?event=ABD155

Assembly fees cover educational materials, instruction, and scheduled events. Directors are responsible for their own travel expenses, hotel expenses, and travel arrangements.

For hotel reservations at our group rate, please call **1-877-590-8187** or **1-877-557-3092** and reference Assembly for Bank Directors.

Online Reservations at: <https://book.passkey.com/go/155AssembliesforBankDirectors>

For all other requests, please call the hotel concierge at **239-598-3300**

Cancellation policy: All refunds are subject to a nonrefundable \$175.00 registration fee; cancellations must be in writing. Full refunds (less the nonrefundable registration fee) will be issued for cancellations made 30 days (or more) before the program's start date. No refunds will be issued for cancellations made less than 30 days before the program's start date. There are no transfers of funds to other Assemblies or programs. Substitutions can be made 30 days in advance.

For more information regarding administrative policies such as complaint and refund, please contact David Davis at 214-768-2991.



SWGSB

SW Graduate School of
Banking Foundation

Southern Methodist University
PO Box 750214
Dallas, TX 75275-0214

"Banking continues to transform quickly with technological advances and the ability to deliver financial services in unprecedented new ways. These advances bring new board oversight responsibilities, and when you add a changing regulatory environment, the need for director training has never been greater. The Assemblies for Bank Directors provide your board members a first-class event and the opportunity to learn and network with the best."

Craig Buford, President and CEO
Community Bankers Association of Oklahoma



SW Graduate School of Banking Foundation is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: NASBARegistry.org

SMU, SWGSB and IBAT do not discriminate on the basis of race, color, national or ethnic origin, sex, age, or disability.

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