



October 3-5
2019
Dallas, Texas

THE CERTIFIED COMMUNITY BANK DIRECTOR'S (CCBD®) PROGRAM

at the SMU Cox School of Business, Southern Methodist University

Board education and training are essential best practices in the new era of community banking. Regulatory expectations and wise management demand a skill set that many directors lack. The SW Graduate School of Banking Foundation (SWGSB) and the Independent Bankers Association of Texas (IBAT) have recognized this and offer the Certified Community Bank Director's (CCBD®) Program, a comprehensive curriculum that addresses all areas of bank directorship – from duties and responsibilities to the foundations of bank finance. The program will be October 3-5, 2019, at Southern Methodist University's Cox School of Business, renowned internationally for its top-ranked business programs.



SWGSB
SW Graduate School of
Banking Foundation



IBAT
Education
Foundation

The Assemblies
for Bank Directors
The National Leader in Bank Director Education

CCBD REGISTRATION

October 3-5
2019
Dallas, Texas

Register for the Certified Community Bank Director's Program early!

Program popularity may limit attendance. Call 214-768-2991 | e-mail info@swgsb.org

NAME	NICKNAME		
BUSINESS OR PROFESSION			
TITLE			
MAILING ADDRESS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	HOME	BUSINESS	BANK
CITY	STATE	ZIP CODE	
BUSINESS PHONE	HOME PHONE		
E-MAIL ADDRESS			
BANK DIRECTORSHIP HELD IN	BANK ASSET SIZE		
BANK CITY	BANK STATE		
NAME OF SPOUSE OR GUEST, IF ATTENDING			

Program Fees \$895 each for 1st and 2nd directors

Group Discounts (from the same bank):

\$845 each for 3rd, 4th, et al. directors

Enclosed is a check made payable to: SWGSB Foundation, P.O. Box 750214, Dallas, TX 75275-0214

Please check which level you will be attending.

LEVEL ONE

LEVEL TWO

To register online and pay with your credit card, please visit our website at
swgsb.org/form_event_directors?event=CCBDFall2019

Program fees cover educational materials, instruction and scheduled events. Directors are responsible for their own travel expenses, hotel expenses and travel arrangements. For hotel reservations at our low group rate or for other hotel and leisure requests, please call The Highland Dallas at 800-HILTONS and reference the "Certified Community Bank Director's Program."

Cancellation policy: All refunds are subject to a nonrefundable \$95 registration fee; cancellations must be in writing. Full refunds will be issued for cancellations made at least 10 business days before the program's start date. A 50% refund will be issued for cancellations between 10 business days and 72 hours of the program's start date. No refunds will be issued for cancellations made less than 72 hours before the program's start date. For more information regarding administrative policies such as complaint and refund, please contact our offices at 214-768-2991.

***All programs are considered industry updates and are conducted in group-live format.
No prerequisites or advanced preparation is required.***

Phone: 214-768-2991 | **Mail:** P.O. Box 750214, Dallas, TX 75275-0214 | **E-mail:** info@swgsb.org • **Web:** swgsb.org/directors

UPCOMING ASSEMBLIES

■ **149th Assembly for Bank Directors** at the Fairmont Waterfront in Vancouver, BC, September 12-15, 2019

■ **150th Assembly for Bank Directors** at the Grand Hyatt Kauai Resort & Spa, in Koloa, Kauai, Hawaii, February 6-9, 2020

CERTIFIED DIRECTOR'S DESIGNATION

This certification covers not only the regulatory and fiduciary responsibilities of bank directors, but also board structures and processes. It focuses on what makes boards and directors effective as well as what is expected of them by regulators, examiners and shareholders. Upon completion of the requirements outlined here, participants will be awarded a certificate and be allowed to use the CCBD designation.



Requirements for Certification

To fulfill the requirements for the CCBD designation, one must complete ALL of the following:

- ▶ Attend the CCBD Program Level 1.
- ▶ Complete an additional 10 to 12 hours of director education and present proof of attendance within twelve months of attending the CCBD Program. One Assembly for Bank Directors conducted by SWGSB would meet this requirement. All other courses and programs will be evaluated and accredited at the discretion of IBAT and SWGSB.
- ▶ Submit a signed code of conduct.
- ▶ To maintain the CCBD designation, one must attend 10 to 12 hours of continuing director education every two years and submit proof of attendance.



A formal request must be made by the individual for the CCBD designation after completing the requirements outlined above. This includes submitting proof of attendance of courses conducted by IBAT, SWGSB and other organizations.

Who Should Attend Level 1 or Level 2?

Level 1 of the CCBD Program is designed to address the most fundamental skills needed by directors today. It is suitable for new as well as experienced directors looking to be certified on core director responsibilities.

Level 2 has been introduced for those directors who have completed Level 1 of the CCBD Program (or equivalent) and are ready to drill down into additional areas the modern director needs to master. Combined, Level 1 and 2 will fulfill the requirements for certification.



The SW Graduate School of Banking Foundation

brings bank board members the best educational experiences in the country – and has for 50 years. The Assemblies for Bank Directors, hailed by The Wall Street Journal as the “Cadillac of Bank Directors Programs,” continues to be the gold standard. Regulators are insisting bank directors know their duties and responsibilities. The CCBD Program, created in consultation with regulatory agencies, creates a uniform standard on which bank boards can rely.

CCBD PROGRAM

October 3-5
2019
Dallas, Texas

LEVEL 1

THURSDAY, OCTOBER 3, 2019 (5:30 – 6:30 p.m.)

Opening Reception at The Highland Dallas (with spouses and guest)

FRIDAY, OCTOBER 4, 2019 (7:00 a.m. – 4:45 p.m.)

Registration

Breakfast Buffet in the Bank of Texas Dining Room

- *Welcome and Program Announcements* – S. Scott MacDonald, Ph.D.
- *“Corporate Governance and the Role of a Board Member”* – S. Scott MacDonald, Ph.D.
- *“Understanding Performance Metrics”* – R. Jay Phillips
- *“Alphabet Soup: Complying with Regs A to Z”* – Karen M. Neeley, J.D.

Lunch

- *“Risk Management”* – S. Scott MacDonald, Ph.D.
- *“Today’s Most Important Compliance Topics”* – Kelly Goulart
- *“A Conversation with the Regulators”* – Regulatory Panel

Evening at your leisure

SATURDAY, OCTOBER 5, 2019 (7:00 a.m. – 12:00 p.m.)

Breakfast Buffet in the Bank of Texas Dining Room

- *“Maximizing the Bank’s Investment Portfolio”* – Dale S. Sheller
- *“The Director’s Role in BSA and AML Compliance”* – Mary White
- *“Mock Board Session on Corporate Governance”* – S. Scott MacDonald, Ph.D., and Merrill J. Reynolds

LEVEL 2

THURSDAY, OCTOBER 3, 2019 (5:30 – 6:30 p.m.)

Opening Reception at The Highland Dallas (with spouses and guest)

FRIDAY, OCTOBER 4, 2019 (7:00 a.m. – 4:45 p.m.)

Registration

Breakfast Buffet in the Bank of Texas Dining Room

- *Welcome and Program Announcements* – Merrill J. Reynolds
- *“The Director’s Role in Credit Risk Management”* – Merrill J. Reynolds
- *“Corporate Governance and the Compliance Function”* – Sanford M. Brown, J.D.

Lunch

- *“Balance Sheet Strategies”* – Dale S. Sheller
- *“A Conversation with the Regulators”* – Regulatory Panel (with Level 1)

Evening at your leisure

SATURDAY, OCTOBER 5, 2019 (7:00 a.m. – 12:00 p.m.)

Breakfast Buffet in the Bank of Texas Dining Room

- *“Strategic Performance: How Does Your Institution Measure Up?”* – S. Scott MacDonald, Ph.D.
- *“Understanding Interest Rate Risk”* – James J. Clarke, Ph.D.

All events are business casual attire. For more information, please call 214-768-2991.

CCBD FACULTY

Sanford M. Brown, J.D., partner, Alston & Bird

James J. Clarke, Ph.D., expert on interest rate risk, investments, bank strategic planning and board governance

Kelly Goulart, regulatory compliance manager, IBAT

S. Scott MacDonald, Ph.D., president and CEO, SWGSB Foundation

Karen M. Neeley, J.D., general counsel, IBAT, and senior attorney, Kennedy Sutherland LLP

R. Jay Phillips, chairman & CEO of West Texas State Bank and heads the Cerfis Group, Inc.

Merrill J. Reynolds, partner and cofounder, Reynolds Williams Group

Dale S. Sheller, senior vice president, The Baker Group LP

Mary White, partner, WTC Performance Group

Christopher L. Williston, VI, CAE, president and CEO, IBAT



About The Highland

The Highland Dallas is as distinctive and exhilarating as the city it calls home, offering relaxed style surrounded by the best shops, restaurants and nightlife. For more information on the hotel's location, please visit their website at thehighlanddallas.com.

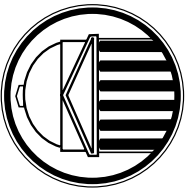


For reservations, please call 800-HILTONS and reference "Certified Community Bank Director's Program."

Note: On Friday and Saturday, we will provide complimentary shuttle service from The Highland to the SMU Campus, where the CCB Programs take place.

Please make your reservations early to avoid disappointment.

The hotel cutoff date is Thursday, September 12, 2019.



SWGSB

SW Graduate School of
Banking Foundation

Cox School of Business
Southern Methodist University
PO Box 750214
Dallas, TX 75275-0214



SWGSB is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE

Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: NASBAregistry.org

SMU, SWGSB and IBAT do not discriminate on the basis of race, color, national or ethnic origin, sex, age, or disability.

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