



**SWGSB**  
at **SMU Cox**

The Nation's *Leader*  
in Bank Director  
Education



NATIONAL CERTIFIED COMMUNITY BANK DIRECTOR'S PROGRAM

# 2026 CATALOG

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October 19–21, 2026 | DALLAS, TEXAS



“SWGSB programs are valuable for aspiring, new, and experienced bank directors to help them gain the knowledge and expertise to lead with clarity, conviction, and insight. Join us to learn from the experts about the latest topics of concern for community banks.”

WILLIAM T. CHITTENDEN, PH.D.  
PRESIDENT AND CEO  
SWGSB AT SMU COX





# Greetings!

WILLIAM T. CHITTENDEN, PH.D.  
PRESIDENT AND CEO  
SW GRADUATE SCHOOL OF BANKING

Welcome to the National Certified Community Bank Director's Program at the SW Graduate School of Banking at SMU Cox (SWGSB)—a nationally recognized program designed for aspiring, new, and experienced community bank directors.

This program provides the knowledge, perspective, and relationships directors need to fulfill their responsibilities with confidence and contribute effectively in the boardroom. Whether you are preparing to serve on a bank board, beginning your service as a new director, or looking to strengthen your effectiveness as an experienced director, the program offers executive education tailored to the unique responsibilities of community bank leadership.

What sets SWGSB bank director education apart is its focus on the issues that matter most in the boardroom. Participants engage with nationally recognized faculty and banking experts on corporate governance, fiduciary responsibilities, strategic oversight, risk management, regulatory expectations, and the evolving challenges facing community banks. The program combines rigorous academic content with practical insights that directors can put to work immediately.

Just as important are the relationships developed throughout the program. Directors learn alongside peers who understand the opportunities and challenges of community banking, creating a network that extends well beyond the classroom and provides an ongoing source of perspective, experience, and support.

We invite you to learn how the National Certified Community Bank Director's Program can strengthen your effectiveness in the boardroom. Visit [www.swgsb.org/nccbd](http://www.swgsb.org/nccbd) to register, or contact us at [info@swgsb.org](mailto:info@swgsb.org) or 214-768-2991.

Sincerely,



William T. Chittenden, Ph.D.



SW Graduate  
School of Banking  
at **SMU Cox**

**National Certified Community Bank Director's Program  
2026 Information Catalog**

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# *Outstanding Bank* Direction Education

Regulatory expectations and wise management often demand a skill set that many bank directors are eager to enhance. The SW Graduate School of Banking at SMU Cox National Certified Community Bank Director's Program is a comprehensive curriculum that addresses the foundations of bank directorship—from duties and responsibilities to board structures, corporate governance, and processes.

More than a course, the program is an investment in stronger directors and stronger banks. Participants build the knowledge and confidence to govern, sharpen their ability to ask the right questions and make informed decisions, and develop a deeper understanding of the issues that shape their bank's performance and future.





**“The speakers and topics covered in SWGSB bank director education are excellent! These programs are a must for all directors whether new or seasoned. Nothing can compete with the SWGSB at SMU Cox peer network and the custom bank reports that each director receives. Invaluable!”**

**MIKE SCHUELER**  
Director  
FirstBank Southwest  
Amarillo, TX

# Certification and Credentialing for Bank Directors

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NCCBD certification focuses on what makes boards and directors effective, as well as what is expected by regulators, examiners, and shareholders. Upon completion of the requirements, participants are awarded a certificate and can use the NCCBD designation.

## *Requirements for NCCBD Certification*

- Attend the three-day Level 1 NCCBD program presented by SWGSB at SMU Cox
- Attend the three-day Level 2 NCCBD program presented by SWGSB at SMU Cox

*Level 1 and Level 2 programs run concurrently and cannot be attended in the same session.*

## *Requirements for Recertification*

To maintain the NCCBD designation, directors must attend a minimum of one SWGSB Assembly for Bank Directors every three years.



According to Velligan-Blaxall Consultants  
and S&P Market Intelligence, financial  
institutions tied to community bank directors  
who attended multiple SWGSB programs  
outperform benchmark banks with assets  
between \$100 million and \$1 billion.

*That's the SWGSB difference!*



**“SWGSB changed my life.”**

—ALUMNI REFLECTION

*Register early at*  
[www.swgsb.org/nccbd](http://www.swgsb.org/nccbd)



***COST \$1,695/attendee***

Fees include tuition, program materials, full breakfast,  
lunch, and beverages during breaks.  
Hotel and transportation are not included.

# 2026 NCCBD Faculty



**WILLIAM T. CHITTENDEN, PH.D.**  
President and CEO,  
SWGSB



**MERRILL J. REYNOLDS**  
Managing Dir. Of Executive  
Education, SWGSB



**SANFORD M. BROWN**  
Partner,  
Alston & Bird



**CHRISTY BUSSEY**  
EVP, Chief Risk Officer,  
ITX Bank



**MATTHEW EARL**  
Partner,  
RWG



**LEAH HAMILTON**  
Director of Educational Services,  
Sentry Advisors



**J. KEITH HUGHEY**  
Founder,  
JKHCo



**JOSH MCNULTY**  
Partner,  
Hunton Andrews Kurth, LLP



**TANYA MILLER**  
President and Chief Risk Officer,  
PrimeBank of Texas



**MIKELLA NEWSOM**  
Senior VP, Chief Risk Officer,  
South Plains Financial, Inc.



**JIMMY SAWYERS**  
Chairman,  
Sawyers & Jacobs



**DALE S. SELLER**  
Senior Vice President,  
The Baker Group LP



**PHILIP K. SMITH**  
Chairman and CEO,  
Gerrish Smith Tuck, PC



**JAMIE SUMNER**  
Partner,  
Arcsalus Advisors

# PROGRAM AGENDAS

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## Level 1 Program

### **Monday, October 19, 2026**

*Breakfast at The Graduate by Hilton*

**7:45–8:00 a.m. Registration**, Collins Center Foyer

**8:00–8:15 a.m. Welcome and Program Announcements**, Collins 120, *Merrill J. Reynolds, Managing Director of Executive Education, SWGSB at SMU Cox*

**8:15–10:00 a.m. Corporate Governance and the Role of a Board Member, Board Culture**, Collins 120, *Philip K. Smith, Chairman and CEO, Gerrish Smith Tuck, PC*

**10:00–10:15 a.m. Break**

**10:15 a.m.–12:00 p.m. Board Members, Board Committees, Board Composition**, Collins 120, *Philip K. Smith, Chairman and CEO, Gerrish Smith Tuck, PC*

**12–1 p.m. Luncheon** in the Bank of Texas Dining Room

**1:00–2:15 p.m. Critical Areas of Opportunity—Audit & Compliance**, Collins 120, *Christy Bussey, EVP, Chief Risk Officer, ITX Bank*

**2:15–2:30 p.m. Break**

**2:30–3:30 p.m. Operational Risk Management**, Collins 120, *Mikella Newsom, Senior VP, Chief Risk Officer, South Plains Financial, Inc.*

**3:30–3:45 p.m. Break**

**3:45–5:00 p.m. Understanding the Investment Portfolio**, Collins 120, *Dale S. Sheller, Senior Vice President, The Baker Group LP*

**5:00–6:00 p.m. Reception and Networking Event**, Collins Center Commons

### **Tuesday, October 20, 2026**

*Breakfast at The Graduate by Hilton*

**8:00–9:00 a.m. Director's Expectations: Stakeholders and Scorecards**, Collins 120, *William T. Chittenden, Ph.D., President and CEO, SWGSB at SMU Cox*

**9:00–9:15 a.m. Break**

**9:15–10:15 a.m. The Board's Role in Information Security and IT Risk Management**, Collins 120, *Jimmy Sawyers, Chairman, Sawyers & Jacobs*

**10:15–10:30 a.m. Break**

**10:30 a.m.–12:00 p.m. Understanding Performance Metrics**, Collins 120, *Tanya Miller, President and Chief Risk Officer, PrimeBank of Texas*

**12–1 p.m. Luncheon** in the Bank of Texas Dining Room

**1:00–2:15 p.m. Credit Trends**, Collins 120, *Merrill J. Reynolds, Managing Director of Executive Education, SWGSB at SMU Cox*

**2:15–2:30 p.m. Break**

**2:30–3:45 p.m. Director's Role in BSA and Compliance**, Collins 120, *Leah Hamilton, Director of Educational Services, Sentry Advisors*

**3:45–4:00 p.m. Break**

**4:00–5:00 p.m. Today's Most Important Compliance Violations and Fair Lending**, Collins 120, *Leah Hamilton, Director of Educational Services, Sentry Advisors*

### **Wednesday, October 21, 2026**

*Breakfast at The Graduate by Hilton*

**8:00–10:00 a.m. Regulatory Panel**, Crum Auditorium, *Sanford M. Brown, J.D., Moderator, Partner, Alston & Bird*

**10:00–10:15 a.m. Break**

**10:15–11:45 a.m. Mock Board on Corporate Governance**, Collins Center Commons, *J. Keith Hughey, Founder, JKHCo*

**11:45 a.m.–12:00 p.m. Closing Comments and NCCBD Certificate Presentations**, Collins 120, *Merrill J. Reynolds, Managing Director of Executive Education, SWGSB at SMU Cox*

*Schedule is subject to change. All events are business casual. Evenings are at your leisure.*

[WWW.SWGSB.ORG](http://WWW.SWGSB.ORG) . [INFO@SWGSB.ORG](mailto:INFO@SWGSB.ORG) . 214.768.2991



## Level 2 Program

### **Monday, October 19, 2026**

*Breakfast at The Graduate by Hilton*

**7:45–8:00 a.m. Registration**, Collins Center Foyer

**8:00–8:15 a.m. Welcome and Program Announcements**, Collins 100, *William T. Chittenden, Ph.D., President and CEO, SWGSB at SMU Cox*

**8:15–9:15 a.m. ALCO for Directors**, Collins 100, *William T. Chittenden, Ph.D., President and CEO, SWGSB at SMU Cox*

**9:15–9:30 a.m. Break**

**9:30–10:45 a.m. Director's Role in Credit Risk Management**, Collins 100, *Matthew Earl, Partner, RWG*

**10:45–11:00 a.m. Break**

**11:00 a.m.–12:00 p.m. Director's Role in Credit Risk Management (continued)**, Collins 100, *Matthew Earl, Partner, RWG*

**12:00–1:00 p.m. Luncheon** in the Bank of Texas Dining Room

**1:00–3:30 p.m. Corporate Governance**, Collins 100, *Josh McNulty, Partner, Hunton Andrews Kurth, LLP*

**3:30–3:45 p.m. Break**

**3:45–5:00 p.m. Strategic Performance – How Does Your Institution Measure Up?**, Collins 100, *Tanya Miller, President and Chief Risk Officer, PrimeBank of Texas*

**5:00–6:00 p.m. Reception and Networking Event**, Collins Center Commons

### **Tuesday, October 20, 2026**

*Breakfast at The Graduate by Hilton*

**8:00–10:00 a.m. Maximizing the Investment Portfolio, Understanding Interest Rate Risk, and Managing Liquidity**, Collins 100, *Dale S. Sheller, Senior Vice President, The Baker Group LP*

**10:00–10:15 a.m. Break**

**10:15–11:00 a.m. Balance Sheet Strategies**, Collins 100, *Dale S. Sheller, Senior Vice President, The Baker Group LP*

**11:00–11:15 a.m. Break**

**11:15 a.m.–12:15 p.m. Real-World Cybersecurity from Ransomware to Wire Fraud: How to Prepare for the Incidents Impacting Banks Today**, Collins 100, *Jimmy Sawyers, Chairman, Sawyers & Jacobs*

**12:15–1:15 p.m. Luncheon** in the Bank of Texas Dining Room

**1:15–2:15 p.m. Real-World Cybersecurity (continued)**, Collins 100, *Jimmy Sawyers, Chairman, Sawyers & Jacobs*

**2:15–2:30 p.m. Break**

**2:30–3:30 p.m. Strategic Planning: The Ins and Outs**, Collins 100, *Jamie Sumner, Partner, Arcsalus Advisors*

**3:30–3:45 p.m. Break**

**3:45–5:00 p.m. 10 Ways to Impact the Bottom Line**, Collins 100, *Jamie Sumner, Partner, Arcsalus Advisors*

### **Wednesday, October 21, 2026**

*Breakfast at The Graduate by Hilton*

**8:00–10:00 a.m. Regulatory Panel**, Crum Auditorium, *Sanford M. Brown, J.D., Moderator, Partner, Alston & Bird*

**10:00–10:15 a.m. Break**

**10:15–11:15 a.m. Mock Board Meeting**, Collins 100, *Sanford M. Brown, J.D., Partner, Alston & Bird*

**11:15 a.m.–12:15 p.m. When Things Go Wrong**, Collins 100, *Sanford M. Brown, J.D., Partner, Alston & Bird*

**12:15–12:30 p.m. Closing Comments and NCCBD Certificate Presentations**, Collins 100, *Merrill J. Reynolds, Managing Director of Executive Education, SWGSB at SMU Cox*

*Schedule is subject to change. All events are business casual. Evenings are at your leisure.*



## Secure Your Discounted Group Hotel Rate

*The Graduate by Hilton • 214.219.2400 • GraduateDallas.com*

**Hotel Reservations** A discounted group rate is available at the hotel. For the group rate, reference SWGSB National Certified Community Bank Director's Program. Or visit [swgsb.org/nccbd](https://swgsb.org/nccbd) and use the **RESERVE YOUR ROOM** link. The Graduate by Hilton is located directly across from Southern Methodist University along a tree-lined border of the Highland Park neighborhood. This boutique hotel offers a pool, onsite restaurant, free Wi-Fi, workout facility, and a beautiful rooftop terrace offering views of SMU and Downtown Dallas. Please make your hotel reservations before the group rate cutoff date of **September 25, 2026**.

## SWGSB Cancellation Policy

Refunds are subject to a \$495 nonrefundable deposit. Cancellations must be in writing. Refunds (less the \$495 deposit) will be issued only for cancellations made at least 30 days prior to the program start date of October 19, 2026. No refunds will be issued for cancellations made after September 19, 2026. There are no transfers of funds to other SWGSB assemblies or programs. Attendee substitutions from the same bank may be made up to 30 days in advance.

**For more information regarding payment and refund policies**, please contact David Davis, SWGSB Chief Operating Officer and Managing Director, at 214.768.2991 or [ddavis@smu.edu](mailto:ddavis@smu.edu).



# Register now at [www.swgsb.org/nccbd](http://www.swgsb.org/nccbd)



**FOR CPE CREDIT—FIELD OF STUDY:** Specialized Knowledge **PREREQUISITES:** A member of a bank's senior management team, board of directors, or aspiring board directors. **PROGRAM LEVEL:** Industry Update **DELIVERY METHOD:** Group/Live **CPE CREDIT HOURS:** Each in-person session qualifies for a maximum of 21 CPE credit hours. **REGISTRY SPONSOR ID:#108414** SW Graduate School of Banking (SWGSB) is registered with the National Association of State Boards of Accountancy (NASBA) as a continuing professional education (CPE) sponsor on the National Registry of CPE Sponsors. Learn more at [swgsb.org/programs](http://swgsb.org/programs). State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors at [NASBARegistry.org](http://NASBARegistry.org). SMU/SWGSB do not discriminate based on race, color, national or ethnic origin, sex, sexual orientation, age, or disability. For information on policies, complaints, and refunds, call 214-768-2991.